

Entropic uncertainty relations - The measurement case

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Preparation uncertainties

A, B = two observables (POVMs) with outcomes Ω_A and Ω_B

For any state ρ ,

$$A^\rho(X) = \text{tr}[\rho A(X)] \quad B^\rho(Y) = \text{tr}[\rho B(Y)] \quad X \subset \Omega_A, Y \subset \Omega_B$$

PUR = any constraint relating all the probabilities A^ρ and B^ρ evaluated at the same state ρ (or, typically, their spreads); no joint measurement or approximate joint measurement of A and B is involved.

Preparation uncertainties

Robertson-Schrödinger uncertainty relations for two selfadjoint operators (1929-30)

$$\begin{aligned}\mathbb{V}_\rho(\mathbf{A})\mathbb{V}_\rho(\mathbf{B}) &\geq \left| \frac{1}{2}\mathbb{E}_\rho(\{\mathbf{A}, \mathbf{B}\}) - \mathbb{E}_\rho(\mathbf{A})\mathbb{E}_\rho(\mathbf{B}) \right|^2 + \frac{1}{4}|\mathbb{E}_\rho([\mathbf{A}, \mathbf{B}])|^2 \\ &\geq \frac{1}{4}|\mathbb{E}_\rho([\mathbf{A}, \mathbf{B}])|^2\end{aligned}$$

where

$$\mathbb{E}_\rho(\mathbf{X}) = \text{tr}[\rho\mathbf{X}] \qquad \mathbb{V}_\rho(\mathbf{X}) = \mathbb{E}_\rho(\mathbf{X}^2) - \mathbb{E}_\rho(\mathbf{X})^2$$

For position and momentum

$$\mathbb{V}_\rho(\mathbf{Q})\mathbb{V}_\rho(\mathbf{P}) \geq \frac{\hbar^2}{4}$$

Preparation uncertainties

Maassen-Uffink entropic uncertainty relations for two sharp observables (PVMs) in finite dimension (1988)

$$H(A^\rho) + H(B^\rho) \geq -2 \log \max_{x,y} |\langle a_x | b_y \rangle|$$

where

$$A(x) = |a_x\rangle\langle a_x|$$

$$B(y) = |b_y\rangle\langle b_y|$$

and H is the *Shannon entropy*

$$H(p) = - \sum_z p(z) \log p(z) \quad (\text{with } 0 \log 0 \equiv 0)$$

Krishna-Parthasarathy entropic uncertainty relations for two generic observables (POVMs) in finite dimension (2002)

$$H(A^\rho) + H(B^\rho) \geq -2 \log \max_{x,y} \left\| A(x)^{1/2} B(y)^{1/2} \right\|$$

For position and momentum (with $\hbar = 1$)

$$H(Q^\rho) + H(P^\rho) > 0 \quad (\text{Hirschman 1957})$$

$$H(Q^\rho) + H(P^\rho) \geq \log(\pi e) \quad (\text{Beckner \& Bialynicki-Birula, Mycielski 1975})$$

Measurement uncertainties

A, B = two *target observables* (POVMs) with outcomes Ω_A and Ω_B

M = a bi-observables, i.e., a POVM with outcomes $\Omega_A \times \Omega_B$

$M_{[1]}, M_{[2]}$ = the two marginals of M

We regard M as an *approximate joint measurement* of A and B

MUR = a lower bound for the “errors” of any approximate joint measurement M of A and B

MURs require to fix an *error function* describing how well $M_{[1]}^\rho$ and $M_{[2]}^\rho$ approximate the target distributions A^ρ and B^ρ , for any state ρ .

Measurement uncertainties

The *relative entropy* of a probability p w.r.t. a probability q (or Kullback-Leibler divergence of q from p) is

$$S(p\|q) = \begin{cases} \sum_{x \in \text{supp } p} p(x) \log \frac{p(x)}{q(x)} & \text{if } \text{supp } p \subseteq \text{supp } q, \\ +\infty & \text{otherwise.} \end{cases}$$

Properties:

- $S(p\|q) \geq 0$, and $S(p\|q) = 0$ iff $p = q$;
- $S(\cdot \| \cdot)$ is jointly convex and LSC;
- $S(p\|q)$ is independent of the labeling of the outcomes.

Measurement uncertainties

The total error in approximating (A, B) with the bi-observable M is

$$S(A^\rho \| M_{[1]}^\rho) + S(B^\rho \| M_{[2]}^\rho) \quad \text{in the state } \rho$$

Taking the worst possible case w.r.t. ρ , we get the *entropic divergence* of M from (A, B) :

$$D(A, B \| M) = \sup_{\rho} \left\{ S(A^\rho \| M_{[1]}^\rho) + S(B^\rho \| M_{[2]}^\rho) \right\}$$

The *entropic incompatibility degree* of A and B is

$$c_{\text{inc}}(A, B) = \inf_M D(A, B \| M)$$

Entropic measurement uncertainty relations:

$$\forall \text{ bi-observable } M \quad \exists \rho \text{ s.t. } S(A^\rho \| M_{[1]}^\rho) + S(B^\rho \| M_{[2]}^\rho) \geq c_{\text{inc}}(A, B)$$

Relation with preparation uncertainty:

$$c_{\text{inc}}(\mathbf{A}, \mathbf{B}) + c_{\text{prep}}(\mathbf{A}, \mathbf{B}) \leq \log |\Omega_{\mathbf{A}}| + \log |\Omega_{\mathbf{B}}|$$

where

$$c_{\text{prep}}(\mathbf{A}, \mathbf{B}) = \inf_{\rho} [H(\mathbf{A}^{\rho}) + H(\mathbf{B}^{\rho})]$$

N. B.: $c_{\text{prep}}(\mathbf{A}, \mathbf{B})$ can be $\neq 0$ even if \mathbf{A} and \mathbf{B} are compatible!

Theorem (Properties of c_{inc})

- (i) $c_{\text{inc}}(A, B) = c_{\text{inc}}(B, A)$
- (ii) For all observables A, B

$$0 \leq c_{\text{inc}}(A, B) \leq \log \frac{2(d+1)}{d+2+d \min_x A^{\rho_0}(x)} + \log \frac{2(d+1)}{d+2+d \min_y B^{\rho_0}(y)} \leq 2 \log 2$$

where $\rho_0 = \mathbb{1}/d$ is the maximally mixed state

- (iii) The set of optimal approximate joint measurements

$$\mathcal{M}_{\text{inc}}(A, B) = \arg \min_M D(A, B \| M)$$

is nonempty, convex and compact

Theorem (Properties of c_{inc})

- (iv) $c_{\text{inc}}(A, B) = 0$ if and only if A and B are compatible, and in this case $\mathcal{M}_{\text{inc}}(A, B)$ is the set of all the joint measurements of A and B .
- (v) If a group G acts
 - on $\Omega_A \times \Omega_B$ (by means of a suitable action)
 - on \mathcal{H} (by means of a projective unitary representation)and A and B are covariant, then there is always a G -covariant element in $\mathcal{M}_{\text{inc}}(A, B)$

Examples

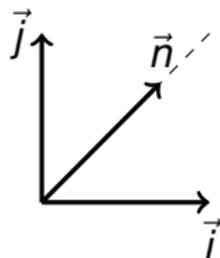
For two orthogonal sharp spin-1/2 observables

$$X(x) = \frac{1}{2}(\mathbb{1} + x\sigma_1) \quad Y(y) = \frac{1}{2}(\mathbb{1} + y\sigma_2) \quad (x, y = \pm 1)$$

we have

$$c_{\text{inc}}(X, Y) = \log \frac{2\sqrt{2}}{\sqrt{2} + 1}$$

$$\mathcal{M}_{\text{inc}}(X, Y) = \{M_0\} \quad \text{with} \quad M_0(x, y) = \frac{1}{4} \left[\mathbb{1} + \frac{x}{\sqrt{2}} \sigma_1 + \frac{y}{\sqrt{2}} \sigma_2 \right]$$



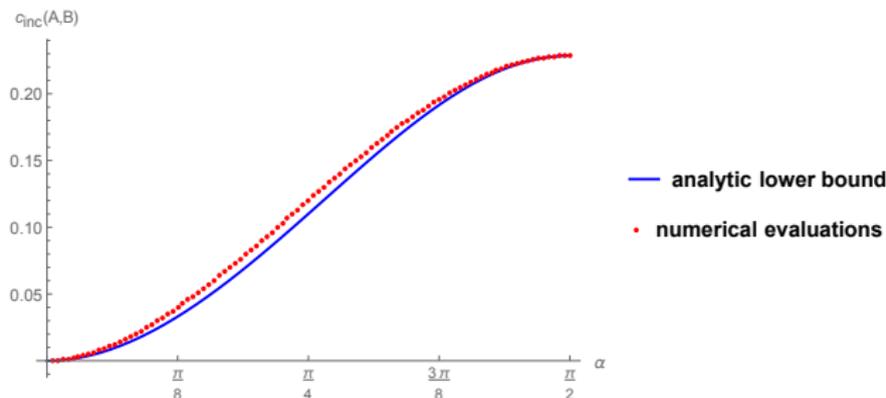
The symmetry group is the dihedral group D_4 generated by the 180° rotations along \vec{i} and \vec{n} .

Examples

For two nonorthogonal sharp spin-1/2 observables

$$A(x) = \frac{1}{2}(\mathbb{1} + x \mathbf{a} \cdot \boldsymbol{\sigma}) \quad B(y) = \frac{1}{2}(\mathbb{1} + y \mathbf{b} \cdot \boldsymbol{\sigma}) \quad \mathbf{a} \cdot \mathbf{b} = \cos \alpha$$

an analytic lower bound can be given for $c_{\text{inc}}(A, B)$.



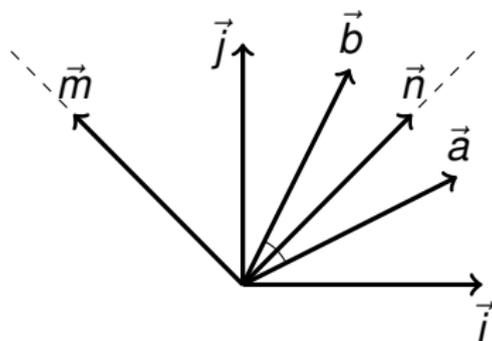
Examples

For two nonorthogonal sharp spin-1/2 observables

$$A(x) = \frac{1}{2}(\mathbb{1} + x \mathbf{a} \cdot \boldsymbol{\sigma}) \quad B(y) = \frac{1}{2}(\mathbb{1} + y \mathbf{b} \cdot \boldsymbol{\sigma}) \quad \mathbf{a} \cdot \mathbf{b} = \cos \alpha$$

an analytic lower bound can be given for $c_{\text{inc}}(A, B)$.

Contrary to the orthogonal case, the (unique) covariant $M_0 \in \mathcal{M}_{\text{inc}}(A, B)$ **does not** have noisy versions of A and B as marginals.



The symmetry group is the dihedral group D_2 generated by the 180° rotations along \vec{n} and \vec{m} .

Examples

For two Fourier-related MUBs in prime-power dimension $d = p^n$

$$Q(x) = |e_x\rangle\langle e_x| \quad P(y) = F^{-1}Q(y)F \quad (x, y \in \mathbb{F}_d)$$

$$F_{x,y} = \frac{1}{\sqrt{d}} \exp\left(-\frac{2\pi i}{p} \text{Tr}(xy)\right)$$

we have

$$c_{\text{inc}}(\mathbf{A}, \mathbf{B}) \geq \log \frac{2\sqrt{d}}{\sqrt{d} + 1}$$

and, if p is odd,

$$\mathcal{M}_{\text{inc}}(\mathbf{Q}, \mathbf{P}) = \{M_0\} \quad \text{with} \quad M_0(x, y) = \frac{1}{2(d + \sqrt{d})} |\psi_{x,y}\rangle\langle\psi_{x,y}|$$

where

$$\psi_{x,y} = e_x + \exp\left(-\frac{2\pi i}{p} \text{Tr}(xy)\right) F e_{-y}$$

The symmetry group is the translation group of the finite phase-space \mathbb{F}_d^2

Definition (Heinosaari, Wolf (2010))

The observable A *can be measured without disturbing* B if there exists an instrument \mathcal{J} on Ω_A such that (in the Heisenberg picture)

$$\begin{aligned}\mathcal{J}_x(\mathbb{1}) &= A(x) & \forall x \in \Omega_A \\ \mathcal{J}_{\Omega_A}(B(y)) &= B(y) & \forall y \in \Omega_B\end{aligned}$$

In this case, the bi-observable

$$[\mathcal{J}(B)](x, y) := \mathcal{J}_x(B(y))$$

is a joint measurement of A and B

Sequential measurements of approximate versions of A followed by B :

$$\mathfrak{M}(\Omega_A; B) = \{\mathcal{J}(B) \mid \mathcal{J} \text{ is an instrument on } \Omega_A\}$$

If $\mathcal{J}(B) \in \mathfrak{M}(\Omega_A; B)$, then in general

$$\mathcal{J}(B)_{[1]} = \mathcal{J}(\mathbb{1}) \neq A \quad (\mathcal{J} \text{ approximates } A)$$

$$\mathcal{J}(B)_{[2]} = \mathcal{J}_{\Omega_A}(B(\cdot)) \neq B \quad (\mathcal{J} \text{ disturbs } B)$$

We can define the *entropic error/disturbance coefficient*

$$\begin{aligned}c_{\text{ed}}(\mathbf{A}; \mathbf{B}) &= \inf_{\mathbf{M} \in \mathcal{M}(\Omega_{\mathbf{A}}; \mathbf{B})} D(\mathbf{A}, \mathbf{B} \| \mathbf{M}) \\ &= \inf_{\mathbf{M} \in \mathcal{M}(\Omega_{\mathbf{A}}; \mathbf{B})} \sup_{\rho} \left\{ S(\mathbf{A}^{\rho} \| \mathbf{M}_{[1]}^{\rho}) + S(\mathbf{B}^{\rho} \| \mathbf{M}_{[2]}^{\rho}) \right\}\end{aligned}$$

Note that c_{ed} is NOT symmetric

Entropic error/disturbance uncertainty relations:

$$\forall \text{ instrument } \mathcal{J} \quad \exists \rho \text{ s. t. } S(\mathbf{A}^{\rho} \| \mathcal{J}(\mathbf{B})_{[1]}^{\rho}) + S(\mathbf{B}^{\rho} \| \mathcal{J}(\mathbf{B})_{[2]}^{\rho}) \geq c_{\text{ed}}(\mathbf{A}; \mathbf{B})$$

Theorem (Properties of c_{ed})

- (i) $c_{\text{inc}}(\mathbf{A}, \mathbf{B}) \leq c_{\text{ed}}(\mathbf{A}; \mathbf{B})$
- (ii) $c_{\text{inc}}(\mathbf{A}, \mathbf{B}) = c_{\text{ed}}(\mathbf{A}; \mathbf{B})$ if \mathbf{B} is sharp
- (iii) *The same bounds of c_{inc} hold for c_{ed}*
- (iv) *The set of optimal approximate measurements of \mathbf{A} resulting in the minimal disturbance on \mathbf{B}*

$$\mathcal{M}_{\text{ed}}(\mathbf{A}; \mathbf{B}) = \arg \min_{M \in \mathcal{M}(\Omega_{\mathbf{A}}; \mathbf{B})} D(\mathbf{A}, \mathbf{B} \| M)$$

is nonempty, convex and compact

- (v) $c_{\text{ed}}(\mathbf{A}; \mathbf{B}) = 0$ if and only if \mathbf{A} can be measured without disturbing \mathbf{B} , and in this case $\mathcal{M}_{\text{ed}}(\mathbf{A}; \mathbf{B})$ is the set of all the sequential measurements of \mathbf{A} followed by \mathbf{B} which do not disturb \mathbf{B}

Generalization to $n > 2$ observables

The incompatibility index c_{inc} can be easily generalized to the case of n observables A_1, \dots, A_n :

$$c_{\text{inc}}(A_1, \dots, A_n) = \inf_M \sup_{\rho} \sum_{i=1}^n S(A_i^{\rho} \| M_{[i]}^{\rho})$$

$$\mathcal{M}_{\text{inc}}(A_1, \dots, A_n) = \arg \min_M \sup_{\rho} \sum_{i=1}^n S(A_i^{\rho} \| M_{[i]}^{\rho})$$

For example, for three orthogonal sharp spin-1/2 observables,

$$c_{\text{inc}}(X, Y, Z) = \log \frac{2\sqrt{3}}{\sqrt{3} + 1}$$

The Q - P case

For the position Q and momentum P on \mathbb{R} , and any bi-observable M on \mathbb{R}^2 , we can still define the entropic divergence

$$D(Q, P \| M) = \sup_{\rho} \left\{ S(Q^{\rho} \| M_{[1]}^{\rho}) + S(P^{\rho} \| M_{[2]}^{\rho}) \right\}$$

where the relative entropy of a probability measure μ w.r.t. a probability measure ν is

$$S(\mu \| \nu) = \begin{cases} \int \left(\log \frac{d\mu(x)}{d\nu(x)} \right) d\mu(x) & \text{if } \mu \text{ has density w.r.t. } \nu \\ +\infty & \text{otherwise} \end{cases}$$

However, the Radon-Nikodym derivatives $\frac{dQ^{\rho}(x)}{dM_{[1]}^{\rho}(x)}$ and $\frac{dP^{\rho}(y)}{dM_{[2]}^{\rho}(y)}$ may be difficult to evaluate.

The Q - P case

For this reason, we restrict to a very particular case:

- Gaussian states;
- Gaussian and covariant approximating bi-observables.

Covariance is understood w.r.t. the phase-space translation group:

$$M(Z + (x, y)) = W(x, y)M(Z)W(x, y)^* \quad \forall Z \in \mathcal{B}(\mathbb{R}^2), (x, y) \in \mathbb{R}^2$$

where the $W(x, y)$'s are the *Weyl operators*

$$W(x, y) = \exp \left[\frac{i}{\hbar} (yQ - xP) \right]$$

The Q - P case

With these assumptions,

$$\begin{aligned} Q^\rho &= N(\mathbb{E}_\rho(Q), \mathbb{V}_\rho(Q)) & P^\rho &= N(\mathbb{E}_\rho(P), \mathbb{V}_\rho(P)) \\ M_{[1]}^\rho &= Q^\rho * N(\mathbb{E}_M(Q), \mathbb{V}_M(Q)) & M_{[2]}^\rho &= P^\rho * N(\mathbb{E}_M(P), \mathbb{V}_M(P)) \end{aligned}$$

where $\mathbb{E}_M(Q), \mathbb{E}_M(P) \in \mathbb{R}$, $\mathbb{V}_M(Q) > 0$, $\mathbb{V}_M(P) > 0$, and

$$\mathbb{V}_M(Q)\mathbb{V}_M(P) \geq \frac{\hbar^2}{4}$$

However,

$$D^S(Q, P \| M) := \sup_{\rho \text{ Gaussian}} \left\{ S(Q^\rho \| M_{[1]}^\rho) + S(P^\rho \| M_{[2]}^\rho) \right\} = +\infty$$

because e.g. $S(Q^\rho \| M_{[1]}^\rho) \rightarrow +\infty$ when $\frac{\mathbb{V}_\rho(Q)}{\mathbb{V}_M(Q)} \rightarrow 0$

This is a classical effect!

The Q - P case

In order to avoid classical effects, we fix a threshold $\epsilon > 0$

$$\sup_{\substack{\rho \text{ Gaussian} \\ \mathbb{V}_\rho(Q) \geq \epsilon}} S(Q^\rho \| M_{[1]}^\rho) = \frac{\log e}{2} \left[\ln \left(1 + \frac{\mathbb{V}_M(Q)}{\epsilon} \right) + \frac{\mathbb{E}_M(Q)^2 - \mathbb{V}_M(Q)}{\mathbb{V}_M(Q) + \epsilon} \right]$$

$$\inf_{\substack{M \text{ Gaussian} \\ \text{and covariant}}} \sup_{\substack{\rho \text{ Gaussian} \\ \mathbb{V}_\rho(Q) \geq \epsilon}} S(Q^\rho \| M_{[1]}^\rho) = 0$$

If there **were not** quantum effects, for any $\epsilon_1, \epsilon_2 > 0$,

$$c_{\text{inc}}(Q, P; \epsilon) := \inf_{\substack{M \text{ Gaussian} \\ \text{and covariant}}} \sup_{\substack{\rho \text{ Gaussian} \\ \mathbb{V}_\rho(Q) \geq \epsilon_1 \\ \mathbb{V}_\rho(P) \geq \epsilon_2}} \left\{ S(Q^\rho \| M_{[1]}^\rho) + S(P^\rho \| M_{[2]}^\rho) \right\} = 0$$

The Q - P case

But since **there are** quantum effects,

$$c_{\text{inc}}(Q, P; \epsilon) \begin{cases} = (\log e) \left\{ \ln \left(1 + \frac{\hbar}{2\sqrt{\epsilon_1\epsilon_2}} \right) - \frac{\hbar}{\hbar + 2\sqrt{\epsilon_1\epsilon_2}} \right\} & \text{if } \epsilon_1\epsilon_2 \geq \frac{\hbar^2}{4} \\ \geq (\log e) \left(\ln 2 - \frac{1}{2} \right) & \text{if } \epsilon_1\epsilon_2 < \frac{\hbar^2}{4} \end{cases}$$

Moreover, when $\epsilon_1\epsilon_2 \geq \frac{\hbar^2}{4}$, the optimal Gaussian and covariant bi-observable is unique.

For every Gaussian and covariant bi-observable M , the total information loss $S(Q^\rho \| M_{[1]}^\rho) + S(P^\rho \| M_{[2]}^\rho)$ can exceed the lower bound $c_{\text{inc}}(Q, P; \epsilon)$ even if we forbid states with too peaked target distributions.

Further generalizations:

- vector valued position and momentum \vec{Q}, \vec{P} :
 $c_{\text{inc}}(Q, P; \epsilon)$ linearly scales with the dimension n
- position and momentum along different directions $\vec{a} \cdot \vec{Q}, \vec{b} \cdot \vec{P}$:
 $c_{\text{inc}}(Q, P; \epsilon) \rightarrow 0$ with continuity as $\vec{a} \cdot \vec{b} \rightarrow 0$.

- A. Barchielli, M. Gregoratti and A. Toigo, *Measurement uncertainty relations for discrete observables: Relative entropy formulation*, coming (hopefully) soon (2017)
- A. Barchielli, M. Gregoratti and A. Toigo, *Measurement uncertainty relations for position and momentum: Relative entropy formulation*, *Entropy* **19**, 301 (2017)