# Point defects, dislocations and grain boundaries (I)

### Lattice vacancies:

Missing atoms or ions

Schottky defect: transferring an atom from a lattice site in the interior to a lattice site on the surface of the crystal

For N atoms in lattice, the equilibrium number n of vacancies is given by Boltzman factor

$$\frac{n}{N-n} = \exp(-\frac{E_V}{k_B T})$$

If  $E_V=1~eV~$  and T $\sim$ 1000 K, then  ${n\over N}pprox e^{-12}pprox 10^{-5}$ 

If T decreases  $\rightarrow$  the equilibrium concentration of the vacancies decreases

→ Frenkel defect : an atom is transferred from a lattice site to the interstitial position, a position not normally occupied by an atom.

The number n of interstitial atoms in equilibrium with n lattice vacancies in a crystal having N lattice points and N' possible interstitial position is given by :

$$E_I = k_B T \ln[\frac{(N-n)(\acute{N}-n)}{n^2}]$$

If the number n of Frenkel defects is much smaller than the number of lattice sites N and the number of interstitial sites N', the results is

$$n \cong (NN')^{\frac{1}{2}} \exp(-E_{I/2k_BT})$$

Where  $E_I$  is the energy necessary to remove an atom from a lattice site to an interstitial position

#### Diffusion

When there is concentration gradient of impurity atoms or vacancies  $\rightarrow$  a flux of these through the solid  $\rightarrow$  in equilibrium the impurities or vacancies will be distributed uniformly

The net flux  $J_N$  of atoms is related to the gradient of the concentration N  $\rightarrow$  Fick's law:

$$J_N = -D \ grad \ N$$

Here  $J_N$  is number of atoms crossing unit area in unit time, D is diffusion constant or diffusivity with unite of cm<sup>2</sup>/s or m<sup>2</sup>/s. the minus sign  $\rightarrow$  diffusion occurs away from regions of high concentration.

The diffusion constant varies with temperature:  $D = D_0 \exp\left(-\frac{E}{k_B T}\right)$ 

E: activation energy for the process.

# Shear strength of single crystals

*Frenkel* method of estimating the theoretical shear strength of a perfect crystal.



As a first approximation we represent the stress-displacement relation by

$$\tau = (\mu b / 2\pi a) \sin (2\pi x / b)$$

where *a* is interplanar spacing, *b* is interatomic spacing in the direction of shear,  $\mu$  denots the appropriate shear modulus.

Where. If  $x/b \ll 1$  (for small elastic strains)  $\rightarrow \tau = \mu x/a$ 

The **critical shear stress**  $\tau_c$  at which the lattice becomes unstable is given by the maximum value of  $\tau$ 

$$\tau_c = \mu b / 2\pi a$$

## Slip:

Plastic deformation in crystal occurs by slip. In slip, one part of the crystal slides as a unit across an adjacent part.

The surface on which slip takes place ightarrow slip plane

The direction of motion  $\rightarrow$  slip direction



The property of slip is the *Schmid* law of the critical shear stress: slip takes place along a given slip plane and direction when the corresponding component of shear stress reaches the critical value.

**Slip Systems** : A slip system is a crystallographic plane, and, within that plane, a direction along which dislocation motion (or slip) occurs

### Schmid's Law

Schmid's Law states that the critically resolved shear stress ( $\tau$ ) is equal to the stress applied to the material ( $\sigma$ ) multiplied by the cosine of the angle with the glide plane ( $\phi$ ) and the cosine of the angle with the glide direction ( $\lambda$ ), which can be expressed as:

$$\tau = \sigma \times m$$

$$m = \cos\phi \cos\lambda$$

where m is known as the Schmid factor



The angle between the [100] and directions  $[1\overline{1}0]$ ,  $\lambda$ , may be determined:

$$\cos\lambda = \frac{u_1 u_2 + v_1 v_2 + w_1 w_2}{\sqrt{(u_1^2 + v_1^2 + w_1^2)(u_2^2 + v_2^2 + w_2^2)}}$$
$$\cos\lambda = \frac{1 \times 1 + 0 \times (-1) + 0 \times 0}{\sqrt{(1^2 + 0^2 + 0^2)(1^2 + (-1)^2 + 0^2)}}$$
$$\lambda = 45^{\circ}$$

The angle between the [100] and directions [111] (normal to (111) plane), $\phi$ , may be determined  $\rightarrow \phi = 54.7^{\circ}$ 

$$m = \cos 45^{\circ} \cos 54.7^{\circ} = 0.408$$