

"But you can't go through life applying Heisenberg's Uncertainty Principle to everything."

Operational uncertainty relations and their uses

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JMR, Volkher B. Scholz, and Stefan Huber

Background & (my) motivation

while building quantum error correcting codes:

 $H(X_A|B)_{\rho} + H(Z_A|C)_{\rho} \ge \log \frac{1}{c}$

a kind of preparation uncertainty relation Berta, Christandl, Colbeck, JMR, Renner NatPhys 6, 659 (2010) generalized to min/max; used in QKD:

 $H_{\min}(X_A|B)_{\rho} + H_{\max}(Z_A|C)_{\rho} \ge 1$

Tomamichel, Renner PRL 106, 110506 (2011)

only works on average; want a *channel* statement like:

if Bob could determine X input perfectly, then Eve gets same output for every Z input Classical leakage resilience from fault-tolerant quantum computation

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Outline

- Difficulty of formulating uncertainty relations
- Simple notions of error and disturbance
- Results
- Uses
- A look at the proof

Since our talks often continued till long after midnight, and did not produce a satisfactory conclusion despite protracted efforts over several ny exhauster arrorather tense. Hence months, both of us bec. Morway, and I was quite Bohr decided in Feb glad to be left behind in Copenhagen, where I could think about these hopelessly complicated problems undisturbed. I now concentrated all my efforts on the mathematical representation of the electron path in the cloud chamber, and when I realized fairly soon that the obstacles before me were quite insurmountable, I began to wonder whether we might not have been asking the wrong sort of question all along. But where had we gone wrong? The path of the electron through the cloud chamber obviously existed; one could easily observe it. The mathematical framework of guantum mechanics existed as well, and was much too convincing to allow for any changes. Hence it ought to be possible to 300 establish a connection between the two, hard though it appeared to be.



- Heisenberg, "Physics and Beyond"

Mathematical



need machinery to describe general measurements

Most uncertainty relations are model-dependent



Now we have POVMs, quantum instruments, completely-positive maps, etc...

Conceptual





Uncertainty *principle* makes it hard to formulate meaningful uncertainty *relations*

Error

Usual recipe: Compare true value with measured result.

- Only eigenstates have a "true value"
- Compare distributions instead? No simultaneous measurement!

Disturbance

Usual recipe: Compare true value with new value.

- No "true value"
- What, precisely, is disturbed?

The theory is intruding on the *definition* of error & disturbance...

"We cannot observe electron orbits inside the atom," I must have replied, "but the radiation which an atom emits during discharges enables us to deduce the frequencies and corresponding amplitudes of its dectrops. After all even in the older physics wave purposes and of photoelectul of the electropy of the observable magnitudes, I since a good theory must be based on directly observable magnitudes, I thought it more fitting to restrict myself to these, treating them, as it were, as representives of the electropy of the electropy of the set of t

observable magnitudes must go into a physical theory?"

"Isn't that precisely what you have done with relativity?" I asked in some surprise. "After all, you did stress the fact that it is impermissible to speak of absolute time, simply because absolute time cannot be observed; that only clock readings, be it in the moving reference system or the system at rest, are relevant to the determination of time."

"Possibly I did use this kind of reasoning," Einstein admitted, "but it is nonsense all the same. Perhaps I could put it more diplomatically by saying that it may be heuristically useful to keep in mind what one has actually observed. But on principle, it is quite wrong to try founding a theory on observable magnitudes alone. In reality the very opposite happens. It is the theory which decides what we can observe."

- Heisenberg, "Physics and Beyond"

Distinguishability



How well can the real apparatus be distinguished from the ideal apparatus, *in any experiment whatsoever?*

$$P_{\text{guess}}(\mathcal{E}_{\text{real}}, \mathcal{E}_{\text{ideal}})$$

$$\delta(\mathcal{E}_{\text{real}}, \mathcal{E}_{\text{ideal}}) = 2P_{\text{guess}}(\mathcal{E}_{\text{real}}, \mathcal{E}_{\text{ideal}}) - 1$$

$$\delta(\mathcal{E}_{\text{real}}, \mathcal{E}_{\text{ideal}}) = \frac{1}{2} \|\mathcal{E}_{\text{real}} - \mathcal{E}_{\text{ideal}}\|_{\diamond}$$

need entangled inputs...

Measurement error





the entire optimization is a semidefinite program

Disturbance

What, exactly, is disturbed by measurement?

Two answers: past preparation or future measurement

Disturbance to future measurement of Z

$$A \longrightarrow \underbrace{\mathcal{E}}_{V_{Z}} \longrightarrow \underbrace{\mathcal{Q}}_{Z} \longrightarrow Z \qquad \approx_{v_{Z}} \qquad A \longrightarrow \underbrace{\mathcal{Q}}_{Z} \longrightarrow Z$$
$$\stackrel{!}{\vee}_{v_{Z}} (\mathcal{E}) := \inf_{\mathcal{R}} \delta(\mathcal{Q}_{Z}, \mathcal{ERT}_{Y}\mathcal{Q}_{Z}).$$

related to joint measureability

any joint measurement can be decomposed into a sequential measurement

Preparation disturbance





Position & momentum

ideal preparation and measurement have finite precision: σ_Q, σ_P

we assume Gaussian noise in the quantum instrument

but we still use idealized distinguishability in error and disturbance

$$\varepsilon_X(\mathcal{E}) := \inf_{\mathcal{R}} \delta(\mathcal{Q}_X, \mathcal{ERT}_B).$$

this setup is inconsistent; precision-limited distinguishability should be smaller. but the proof goes through easily It must have been one evening after midnight when I suddenly remembered my conversation with Einstein and particularly his statement, "It is the theory which decides what we can observe." I was immediately convinced that the key to the pate that had been closed for reference used by the pate that had been closed for through Faelled Park and to think further about the matter.

We had always said so glibly that the path of the electron in the cloud chamber could be observed. But perhaps what we really observed was something much less. Perhaps we merely saw a series of discrete and ill-defined spots through which the electron had passed. In fact, all we do see in the cloud chamber are individual water droplets which must certainly be much larger than the electron. The right question should therefore be: Can quantum mechanics represent the fact that an electron finds itself approximately in a given place and that it moves approximately with a given velocity, and can we make these approximations so close that they do not cause experimental difficulties?

- Heisenberg, "Physics and Beyond"

Measures of complementarity

apply error and disturbance measures

$$c_M(X,Z) = \nu_Z(\mathcal{Q}_X) \qquad c_P(X,Z) = \eta_Z(\mathcal{Q}_X)$$
$$= \varepsilon_Z(\mathcal{Q}_X) \qquad \widehat{c}_P(X,Z) = \widehat{\eta}_Z(\mathcal{Q}_X)$$

$$c_M(X,Z), c_P(X,Z) \ge 1 - \frac{1}{d} \sum_x \max_z |\langle \varphi_x | \theta_z \rangle|^2$$
$$\widehat{c}_P(X,Z) \ge \frac{d-1}{d} - \frac{1}{d} \max_z \frac{1}{2} \sum_x |\frac{1}{d} - \langle \varphi_x | \theta_z \rangle|^2|$$

same for conjugate observables

potentially large gap otherwise

Relations for finite dimensions

$$\sqrt{2\varepsilon_X(\mathcal{E})} + \nu_Z(\mathcal{E}) \ge c_M(X,Z) \quad and$$
$$\varepsilon_X(\mathcal{E}) + \sqrt{2\nu_Z(\mathcal{E})} \ge c_M(Z,X).$$

 $\sqrt{2\varepsilon_X(\mathcal{E})} + \eta_Z(\mathcal{E}) \ge c_P(X, Z) \quad and$ $\sqrt{2\varepsilon_X(\mathcal{E})} + \widehat{\eta}_Z(\mathcal{E}) \ge \widehat{c}_P(X, Z).$



Connection to wave-particle duality



Applications: crypto



channel to Eve

we would like Z inputs to be inaccessible



dilate and measure

if this is close to an ideal X measurement, then we have security

 $\delta(P_Z \mathcal{N}, \mathcal{C}) \le \sqrt{2\varepsilon_X}$

Position momentum



Consider approximate position measurement of an approximate momentum state, followed by approximate momentum measurement

$$\sigma_P^{\rm in} \longrightarrow \sigma_Q \longrightarrow \sigma_P^{\rm out}$$

by uncertainty principle, expect change in momentum $~~\sim 1/\sigma_Q$

to detect change in momentum, need $\sigma_P^{\rm out} \ll \sigma_P^{\rm in} + 1/\sigma_Q$

for measurement disturbance

$$\sigma_P^{\text{out}} = 2/\sigma_Q$$

for preparation disturbance

$$\sigma_P^{\rm in} = 2/\sigma_Q$$

A brief calculation after my return to the Institute showed that one could indeed represent such situations mathematically, and that the approximations are governed by called the uncertainty principle of quantum mechanics: the product of the uncertainties in the measured values of the position and momentum (i.e., the product of mass and velocity) cannot be smaller than Planck's constant. This formulation, I felt, established the much-needed bridge between the cloud chamber observations and the mathematics of quantum mechanics. True, it had still to be proved that any experiment whatsoever was bound to set up situations satisfying the uncertainty principle, but this struck me as plausible a priori, since the processes involved in the experiment or the observation had necessarily to satisfy the laws of quantum mechanics. On this presupposition, experiments are unlikely to produce situations that do not accord with quantum mechanics. "It is the theory which decides what we can observe." I resolved to prove this by calculations based on simple experiments during the next few days.

- Heisenberg, "Physics and Beyond"

Stinespring dilation and its continuity



Lemma 2. For any apparatus $\mathcal{E}_{A\to YB}$ there exists a channel $\mathcal{F}_{XA\to YB}$ such that $\delta(\mathcal{E}, \mathcal{Q}'_X \mathcal{F}) \leq \sqrt{2\varepsilon_X(\mathcal{E})}$, where \mathcal{Q}'_X is a quantum instrument associated with the measurement \mathcal{Q}_X . Furthermore, if \mathcal{Q}_X is a projective measurement, then there exists a state preparation $\mathcal{P}_{X\to YB}$ such that $\delta(\mathcal{E}, \mathcal{Q}_X \mathcal{P}) \leq \sqrt{2\varepsilon_X(\mathcal{E})}$.

Now use triangle inequality

want to show $\sqrt{2\varepsilon_X(\mathcal{E})} + \nu_Z(\mathcal{E}) \ge c_M(X,Z)$ and $\varepsilon_X(\mathcal{E}) + \sqrt{2\nu_Z(\mathcal{E})} \ge c_M(Z,X)$.
$$\begin{split} \delta(\mathcal{Q}_{Z}, \mathcal{Q}_{X} \mathcal{P} \mathcal{R} \mathcal{Q}_{Z}) &\leq \delta(\mathcal{Q}_{Z}, \mathcal{E} \mathcal{R} \mathcal{Q}_{Z}) + \delta(\mathcal{E} \mathcal{R} \mathcal{Q}_{Z}, \mathcal{Q}_{X} \mathcal{P} \mathcal{R} \mathcal{Q}_{Z}) \\ &\leq \delta(\mathcal{Q}_{Z}, \mathcal{E} \mathcal{R} \mathcal{Q}_{Z}) + \delta(\mathcal{E}, \mathcal{Q}_{X} \mathcal{P}) \\ &= \delta(\mathcal{Q}_{Z}, \mathcal{E} \mathcal{R} \mathcal{Q}_{Z}) + \sqrt{2\varepsilon_{X}(\mathcal{E})}. \end{split}$$

take infimum over R to get the first inequality

make a joint measurement out of optimal R's in error and disturbance

$$A \longrightarrow \underbrace{\mathcal{E}}_{X} \longrightarrow \underbrace{\mathcal{R}}_{Z} \longrightarrow Z$$

decompose into Z measurement first to get the other inequality

position/momentum same, but harder to evaluate bound use representation of covariant measurements and Kennard uncertainty relation

Summary and open questions

- New error-disturbance tradeoff
- formulated using easy-to-interpret quantities;
- applicable to information processing

- tightness in general?
- POVMs?
- precision in P and Q distinguishability